**Technology Start-up Support Scheme for Universities (TSSSU)**

**Assessment of Technology Start-up
for the 2023-24** **Government Financial Year**

**NOTES**

1. Please use a separate form for each start-up.
2. Sections A to C should be completed by the funded start-up. Section D should be completed by the respective university unit responsible for TSSSU.
3. The information provided in this form will be used for performance monitoring and assessment of the start-up, statistical analysis, review of TSSSU and related purposes by Innovation and Technology Commission (ITC) and the associated university. It may be disclosed to other Government bureaux/departments, statutory bodies or third parties for the above said purposes or required by law. This submission denotes that the start-up concerned and the university unit responsible for TSSSU have given explicit consent to such disclosure.
4. Please tick the box as appropriate.

**SECTION A: Particulars of the Start-up***(To be completed by the person-in-charge of the funded start-up)*

|  |  |  |
| --- | --- | --- |
| Name of Start-up (in English) | : |  |
| Name of Start-up (in Chinese) | : |  |
| The Associated University | : | The University of Hong Kong |
| TSSSU Reference Number | : |  |
| Name of Person-in-charge | : |  |
| Webpage (if any) | : |  |

**SECTION B: Brief Description of Business***(To be completed by the person-in-charge of the funded start-up)*

Please provide a summary of the start-up, its business and R&D work.
*(Please add separate sheet(s) if necessary)*

|  |
| --- |
| *(in Chinese) (Not more than 100 words)* |
|  |
| *(in English) (Not more than 60 words)* |
|  |

**SECTION C: Performance of the Start-up***(To be completed by the person-in-charge of the funded start-up)*

Please describe the performance of the start-up made in the 20\_\_-\_\_ Government financial year.

1. **Technological Achievements/Deliverables of the Start-up**
2. Are there any products/software/services developed from the R&D which has been rolled out in the market?

[ ]  Yes [ ]  No (if no, please go to (1)(b)(i) direct)

If yes, please provide the following details for each deliverable that has been rolled out to the market:

| Details of the deliverable(s) (e.g. name(s) and nature of the products/software/services and status of their respective intellectual property (IP) rights including patents/copyrights/trademarks, target clientele/market(s), expansion of business outside Hong Kong) | Involves IP(s) from university? | Involves IP(s) generated by the start-up? |
| --- | --- | --- |
| (in English) | (in Chinese) |
| 1.  |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
| 2.  |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |
| 3.  |  | [ ]  Yes[ ]  No | [ ]  Yes[ ]  No |

1. (i) How many IP rights have been generated from the start-up and how many of them are arising from projects previously- funded by the Innovation and Technology Fund (ITF)?

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  | Total Number |  | Number of IP RightsPreviously Funded byITF (please providedetails in (b)(ii)) |
| Patents filed |  |  |  |  |
| Patents granted |  |  |  |  |
| Copyrights (no matter registered or not) |  |  |  |  |
| Trademarks/designs registered |  |  |  |  |
| Others *[please specify:* |  |  |  |  |
| *(in Chinese)* |  |  |  |
| *(in English)* |  | *]* |  |  |

(b) (ii) If the intellectual property rights generated are arising from previously-funded ITF projects, please list out the project information:

|  |  |  |
| --- | --- | --- |
| Name and Reference No. ofthe Previously-funded ITF Project | Project Period(dd/mm/yyyy - dd/mm/yyyy) | Amount of Funding Approved(HK$) |
| 1.  |  |  |
| 2.  |  |  |
| 3.  |  |  |

1. Is there any science and technology/industry award granted for the results of the R&D and the commercialisation results?

[ ]  Yes [ ]  No (if no, please go to(1)(d) direct)

If yes, please provide details (including the address of any webpage of the award by means of footnote).

|  |
| --- |
| **Local/Mainland awards** |
| *(in Chinese) (Not more than 50 words)* |
|  |
| *(in English) (Not more than 30 words)* |
|  |
| **International awards** |
| *(in Chinese) (Not more than 50 words)* |
|  |
| *(in English) (Not more than 30 words)* |
|  |

1. Please provide details/experience of successful commercialisation of R&D results (e.g. how did the commercialisation results bring benefits to the community/collaborating parties after the technologies/deliverables developed by the start-up were adopted).

|  |
| --- |
| *(in Chinese)* |
|  |
| *(in English)* |
|  |

1. **Financial Achievements/Deliverables of the Start-up**
2. How much revenue has been received in the 20\_\_-\_\_ Government financial year through the commercialisation of the R&D results?

|  |  |
| --- | --- |
|  | (HK$) |
| Licensing/sale of technology |  |
| Royalties |  |
| Sales of sample/prototype |  |
| Use of technology in production of goodsfor sales/provision of services |  |
| Other technology transfer activities*[Please specify:* |  |
| *(in Chinese)* |  |
| *(in English)* |  | *]* |
| Total |  |

(b) Has the start-up been admitted to any incubation/acceleration programme (e.g. Hong Kong Science and Technology Parks Corporation, Cyberport, etc.)?

[ ]  Yes [ ]  No (if no, please go to (2)(c) direct)

If yes, please provide the following details:

|  |  |  |
| --- | --- | --- |
| Name of incubator/acceleratorand the incubation/accelerationprogramme | Period of the incubation/ acceleration programme (dd/mm/yyyy - dd/mm/yyyy) | Additional grant approved by the incubator/ accelerator (HK$) |
|  |  |  |
|  |  |  |
|  |  |  |
|  | Total   |  |

(c) How much capital or money has been raised (including private investment received by start-up funded by TSSSU+) in the 20\_\_-\_\_ Government financial year (other than TSSSU funding)?

|  |  |
| --- | --- |
| Source of capital/money | (HK$) |
| 1. Government

*(in Chinese)**(in English)* |  |
| 1. The Associated University (Note)

*(in Chinese)**(in English)* |  |
| 1. Private Investor(s)

*(in Chinese)**(in English)* |  |
| 1. Others (please specify) (Note)

*(in Chinese)**(in English)* |  |
| Total |  |

Note: For capital/money from the associated university and other sources, please add an asterisk (\*) after the name of funding source if such capital/money originates from government subvention.

1. **Creation of Jobs/Training Opportunities**

How many jobs/training opportunities have been created in the start-up (other than the members of the university team forming the start-up)?

|  |  |  |
| --- | --- | --- |
| Technical | : |  |
| Non-technical | : |  |

1. **Material Modification to the Approved Budget/Business Proposal**

How many counts of material modification to the approved budget/business proposal have been sought and approved by the associated university?

|  |  |
| --- | --- |
| Reasons | No. of Change(s) |
| Due to the introduction of new expenditure item(s) |  |
| Due to change(s) to the approved budget |  |
| Due to change(s) to the approved business proposal |  |
| Due to other change(s) |  |
| Total   |  |

*\* \* \* \* \* \* \* \**

I/We hereby declare that:

1. the information in Sections A to C above is provided by *(name of the start-up)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_; and
2. all information provided in this form accurately reflects the status of affairs as at the date of submission.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Authorised Signature with Company Chop | : |  |  | - Chop - |
| Name ofPerson-in-charge | : |  |  |
| Name of Company | : |  |  |
| Contact Phone No. | : |  |  |
| Date (dd/mm/yy) | : |  |  |  |

**SECTION D: Performance Assessment of the Start-up***(To be completed by the university unit responsible for TSSSU)*

1. Latest status of the Start-up (as at 31 March 20\_\_)

[ ]  In Operation

[ ]  Ceased Operation on *(please specify date (dd/mm/yyyy))*: \_\_\_\_\_\_\_\_\_\_\_\_\_

[ ]  Others *(please specify)*: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Please comment on whether the milestones proposed by the start-up in the application for the TSSSU funding support have been achieved within the specified period.

| Period | Milestones | Achieved?(if not, please provide comments) |
| --- | --- | --- |
| From(dd/mm/yyyy) | To(dd/mm/yyyy) |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

1. Please provide a performance assessment of the start-up, to the best of the unit’s knowledge, for the 20\_\_-\_\_ Government financial year.

| ***Extremelysatisfied/ Likely*** | **A** | **B** | **C** | **D** | **E** | **N/A** | ***Extremely disappointed/ Unlikely*** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **I. Innovation and Technology Content and Commercialisation** |
| Q1 How well do you think the R&D and commercialisation results have achieved in the following areas? |  |  |  |  |  |  |  |  |
| * *Technology breakthrough*
 |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| * *Improve production capability/ product quality*
 |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| * *Reduce production/product cost*
 |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| * *Others (please specify:*

*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_)* |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
|  |  |  |  |  |  |  |  |  |
| **II. Commercial Viability of the Business** |
| Q2 How likely do you think the start-up would be a sustainable business in the future? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
|  |  |  |  |  |  |  |  |  |
| **III. Capability of the Start-up and its Team to Undertake the R&D Work and Manage the Company** |
| Q3 How well did the start-up carry out the R&D work and commercialise the results as proposed in the business proposal agreed by the associated university and ITC?  |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |  |
| Q4 How effective do you think the start-up was in making use of TSSSU funding and managing the budget? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |  |
| Q5 How well did the start-up comply with funding requirements stipulated by ITC and the associated university (e.g. submission of requests for material modifications to the approved business proposal/budget, half-yearly report, annual report and reimbursement request in good time and in good quality, keep the university informed the progress of the R&D or modifications to the business proposal, etc.)? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |  |
|  |  |  |  |  |  |  |  |  |
| **IV. Social and/or Community Impact of the Start-up’s R&D Work** |
| Q6 How likely will the R&D and its commercialisation results bring benefits to the community? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
| Q7 How well did the start-up provide opportunities for training of local scientific personnel? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |
|  |  |  |  |  |  |  |  |  |
| **V. Overall Performance** |
| Q8 How satisfied are you with the overall performance of the start-up? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |  |
| Q9 How satisfied are you with the overall performance of the person-in-charge of the start-up? |  | [ ]  | [ ]  | [ ]  | [ ]  | [ ]  |  |  |

1. Please list any other observations on the start-up for the 20\_\_-\_\_ Government financial year, and any appropriate follow up actions taken. *(Compulsory if the rating(s) for Q8 and/or Q9 in Section (D)(3) above is/are D or E)*

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*\* \* \* \* \* \* \* \**

I/We confirm, to the best of my knowledge, that the information provided in Section D of this form is true and correct.

|  |  |  |
| --- | --- | --- |
| Signature(s) | : |  |
|  |  | *(to be signed by the Head of the university unit**responsible for TSSSU)* |
| Name(s) | : |  |
| Post Title(s) | : |  |
| Tel. No. | : |  |
| E-mail | : |  |
| Date | : |  |